

# Lecture 10 Quantum invariants - finally <sup>1</sup>

**Recall** 1State =  $\int \mathbb{Tan}^{\text{or}}$  = "oriented, framed tangles"

This is the free braided pivotal cat gen  
by one object  $(\mathbb{1})$  and

$\int \mathbb{Tan}^{\text{or}} = \langle +, \nearrow, \searrow, \cup, \cap, \cup, \cap \mid \text{or. Reidemeister moves} \rangle$

Corollary 10.1 For any braided pivotal cat  
 $\mathcal{C}$  there exists a functor

$$F: \int \mathbb{Tan}^{\text{or}} \longrightarrow \mathcal{C}$$
$$+ \longmapsto X$$

Def 10.2 Any pair  $(\mathcal{L}, F)$  as in Cor 10.1

is called a **quantum invariant**

---

Remark 10.3 There are several flavors of what is called a quantum invariant, eg:

- one could ask  $\mathcal{L}$  to have more structure, eg being  $\mathbb{K}$ -linear,  $\oplus$  or algebra to do some algebraic calculations (all my examples will be alg. in nature)
- a **quantum invariant of a 3-manifold** is exactly the same but  $\mathcal{L}$  being modular (3-manifolds can be cooked up from  $\mathbb{Z}\pi_1 \sim$ , but have extra relations)

Example Let  $\mathcal{C} = TL = \langle \cdot, \cap, \cup \mid \mathcal{N} = 1 = 6, \quad \exists \quad 0 = -q - q^{-1} \rangle$

$\mathbb{K}(q^{\pm 1})$ -linear  $\nearrow$   
 $\nwarrow$  formal variable

With  $\mathcal{D}_1 := q^{1/2} \parallel + q^{-1/2} \cup \rightarrow \mathcal{D}' := q^{-1/2} \parallel + q^{1/2} \cup$  one can check that TL is braided pivotal.

(Example calculation:  $\mathcal{D}' = q^{1/2} \parallel + q^{-1/2} \cup$ )

$$= \parallel + q^{-1} \cup + q \cap + \emptyset = \parallel + q^{-1} \cup + q \cap - (q + q^{-1}) \cup$$

$$= \parallel \Rightarrow \mathcal{D}' = \parallel$$

So we get a functor  $F: \mathcal{F} \text{ATan}^{\sim} \rightarrow TL$   
 $+ \mapsto \cdot$

The quantum invariant in this case is  $\varphi$   
 the so called **Jones polynomial**

Ex.  $f \in \text{End}_{\mathbb{Z}[\text{Hopf link}]}(\mathbb{1}) \mapsto f \in \text{End}_{\mathbb{Z}}(\mathbb{1}) = \mathbb{K}(q^{1/2})$

Unlink  $0 \ 0 \mapsto (q + q^{-1})^2 = q^2 + 2 + q^{-2}$  "a polynomial"

$= q \text{ (parallel strands)} + (-q^{-1}) \text{ (crossing)} + (-q^{-1}) \text{ (crossing)} + q^{-1} \text{ (crossing with circle)}$

$(q + q^{-1})^2 = q^2 + 2 + q^{-2}$

**Jones Poly**

Hopf link is  $\Rightarrow$  non-trivial

Main question: How to construct  
 good braided pivotal categories?

↳ being able to do calculations  
 ↳ non-trivial invariants

- TL is a very good example, but it is unclear how this should generalize

-  $H$  mod for Hopf algebras  $H$  are very good candidates. Catch: The first examples one comes up with,  $\mathbb{K}[G]$  or  $U(\mathfrak{g})$ , give asymmetric categories

-  $D(G)$  mods are modular, but give <sup>↳ useless for topology</sup> pretty stupid invariants.

So: Enter quantum groups

Main idea: quantize the Chevalley presentation 6 of the Lie algebra  $\mathfrak{g}$ . Here only  $\mathfrak{g} = \mathfrak{sl}_2$ , but this works in general.

---

Example (Chevalley)

$U(\mathfrak{sl}_2)$  is the algebra generated by  $E, F, H$  modulo

$$- HE = EH + 2E ; HF = FH - 2F$$

$$- FE = EF - H$$

$\left. \begin{array}{l} \text{PBW} \\ \Rightarrow \\ \text{basis} \end{array} \right\} \{E^a F^b H^c \mid a, b, c \in \mathbb{N}\}$

This is a Hopf algebra:

$$\begin{array}{l} \Delta(E) = E \otimes 1 + 1 \otimes E \\ \Delta(F) = F \otimes 1 + 1 \otimes F \\ \Delta(H) = H \otimes 1 + 1 \otimes H \end{array} \left| \begin{array}{l} \Sigma(E) = 0 \\ \Sigma(F) = 0 \\ \Sigma(H) = 1 \end{array} \right| \begin{array}{l} S(E) = -E \\ S(F) = -F \\ S(H) = H \end{array}$$

Def 10.4 Quantum  $S(\mathfrak{sl}_2)$  is the  $\mathbb{K}(q)$ -algebra  $\mathcal{F}$  generated by  $E, F, K^{\pm 1}$  modulo

$$- KK^{-1} = 1 = K^{-1}K$$

$$- KE = q^2 EK; \quad KF = q^{-2}FK$$

$$- FE = EF - \frac{K - K^{-1}}{q - q^{-1}}$$


---

Lemma 10.5  $\{E^a F^b K^c \mid a, b \in \mathbb{N}, c \in \mathbb{Z}\}$  is a PBW type basis of  $U_q(S\mathfrak{sl}_2)$

---

Lemma 10.6  $U_q(S\mathfrak{sl}_2)$  can be endowed with a Hopf algebra structure:

$\Delta(E) = E \otimes 1 + K \otimes E$	$\xi(E) = 0$	$S(E) = -K^{-1}E$
$\Delta(F) = F \otimes K^{-1} + 1 \otimes F$	$\xi(F) = 0$	$S(F) = -FK$
$\Delta(K^{\pm 1}) = K^{\pm 1} \otimes K^{\pm 1}$	$\xi(K^{\pm 1}) = 1$	$S(K^{\pm 1}) = K^{\mp 1}$

Proposition 10.7  $U_q(\mathfrak{sl}_2)$  is a flat deformation of  $U(\mathfrak{sl}_2)$ .

Proof (sketch) like almost everything involving quantum groups, making this rigorous is a pain, but the idea is pretty nice:

Set  $K = q^H$ ,  $K^{-1} = q^{-H}$ . Then:

$$EF - FE = \frac{q^H - q^{-H}}{q - q^{-1}} \underset{q \rightarrow 1}{\lim}, \text{ Using L'Hospital (no matter$$

how absurd this sounds) we get

$$EF - FE = \lim_{q \rightarrow 1} \frac{q^H - q^{-H}}{q - q^{-1}} = \lim_{q \rightarrow 1} \frac{Hq^{H-1} + Hq^{-H-1}}{1+q^2} = \frac{2H}{2} = H;$$

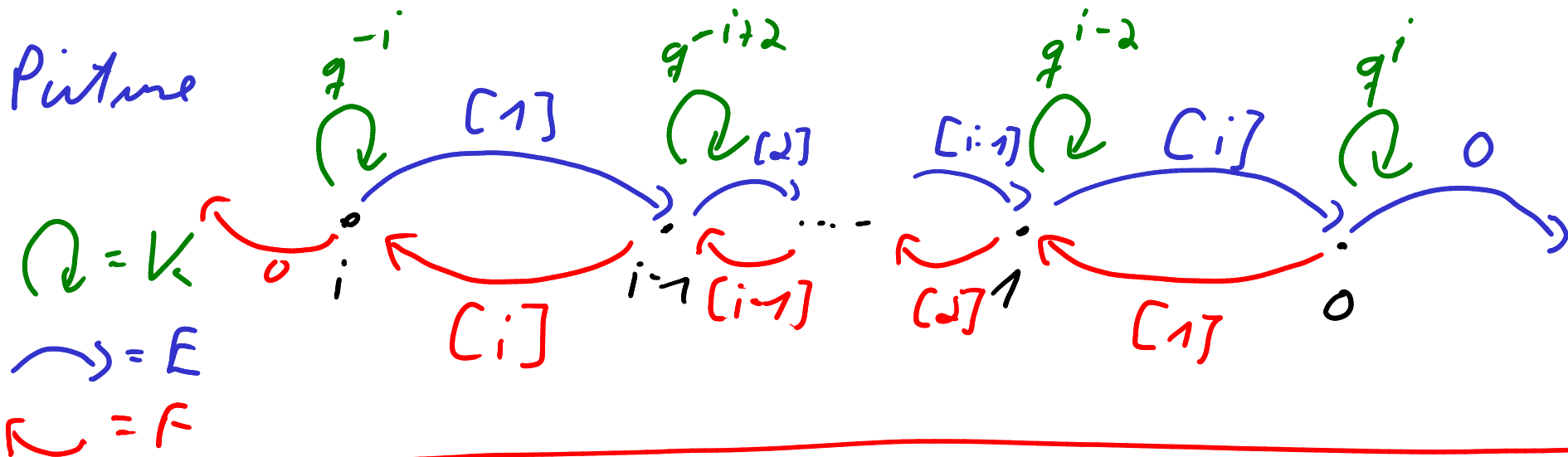
the relation in  $U(\mathfrak{sl}_2)$ . The others follow similarly.



Let us now study  $U_q(\mathfrak{sl}_2) \text{ mod } [a] = \frac{q^a - q^{-a}}{q - q^{-1}}$  9

Def 10.8 For  $i \in \mathbb{N}$ , the  $i$ th Weyl module  $\Delta(i)$  is the  $(K|q)$ -vector space with basis  $\{m_0, \dots, m_i\}$  and action ( $m_{<0}, m_{>i}$  are zero, by convention)

$$E m_k = [i - k + 1] m_{k-1} \quad F m_k = [k + 1] m_{k+1} \quad K m_k = q^{i-2k} m_k$$



One can show that  $\dim U_q(\mathfrak{sl}_2) \text{ mod } \Delta(i) = [i]$

Theorem 10.9  $U_q(SL_2)_{\text{mod}}$  is an  $|K|q|$ -lien, 10  
 $\oplus$ , abelian, semisimple cat with simple  
objects  $\{\Delta(i) \mid i \in \mathbb{N}\}$

Moreover,  $U_q(SL_2)_{\text{mod}}$  is braided pivotal with

$$\Delta(i)^* \cong \Delta(i)$$

Finally, for every  $i \exists F_i: \text{fTan}^{\text{ov}} \rightarrow U_q(SL_2)_{\text{mod}}$   
and  $F_i$  recovers the Jones polynomial  $\xrightarrow{+} \Delta(i)$

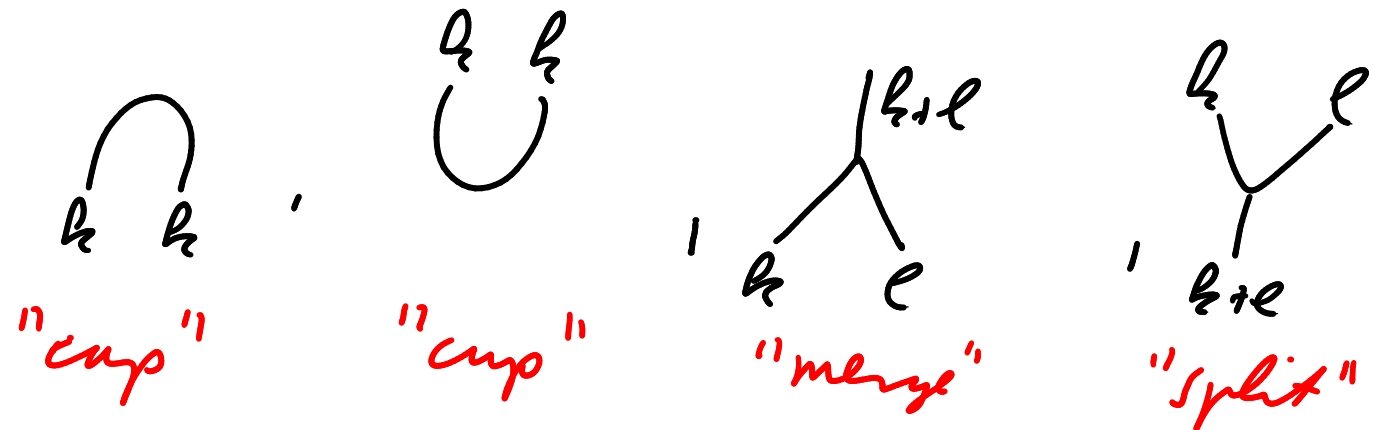
- 
- The above works complete general for  $U_q(\mathfrak{g})$
  - $U_q(SL_2)_{\text{mod}}$  can be modified into a modular cat
  - Rest of this talk: A diagrammatic version of  $U_q(SL_2)_{\text{mod}}$   
à la TC category

Def 10.10 The category of **symmetric  $sl_2$  webs** <sup>11</sup>

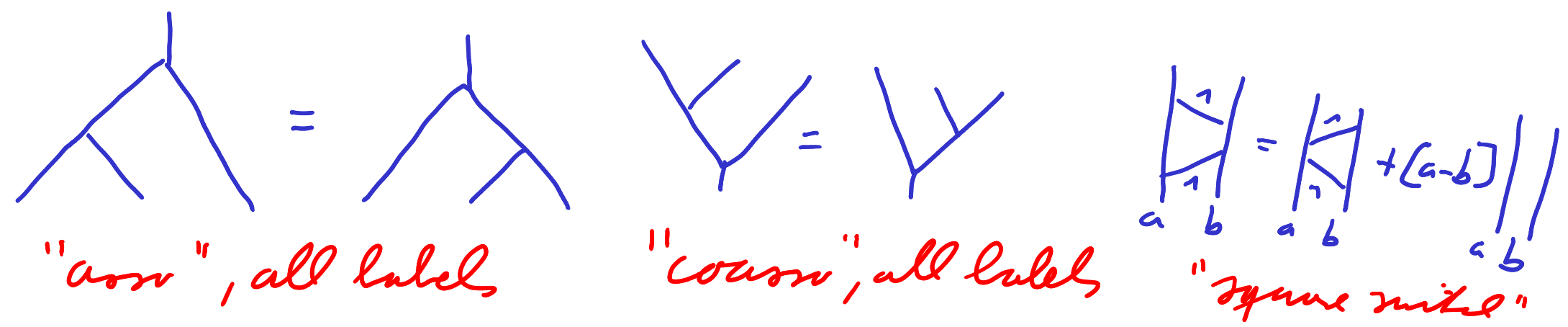
**$sl_2$  Web** is the  $\mathbb{K}(q)$ -linear,  $\oplus$ , monoidal category monoidally generated by

- objects  $k \in \mathbb{N}$

- morphisms



Relations:



$$N = I = h \quad \Lambda = \Lambda \quad U = U^{12}$$

"isotopies", all labels

$$O_1 = -[2]$$

"circle removal"

$$\text{Y-junction} = [2] \left( \begin{array}{c} | \\ | \\ | \end{array} \right) + \text{cup}$$

"dumbbell"

Morphisms in  $sl_2$  Web are thus, labeled trivalent graph up to isotopies and a few combinatorial relations

Lemma 10.11 (Thickening) There are thick  
 version of the relations, eg.  $O_k = (-1)^k [k+1]$

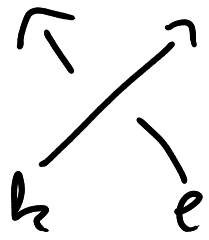
Proof (Sketch) Note that the square with  $a=k$   
 $b=0$  becomes  $k-1 \circlearrowleft_k^k 1 = [k] \Big|_k$

$$O_k = \frac{1}{[k]} \left( \text{diagram of } O_1 \text{ with } k \text{ strands} \right) = \frac{1}{[k]} \left( \text{diagram of } O_1 \text{ with } k-1 \text{ strands} \right) \stackrel{\text{Some Calc}}{=} \frac{1}{[k]} \left( \text{diagram of } O_1 \text{ with } k-2 \text{ strands} \right) \dots$$

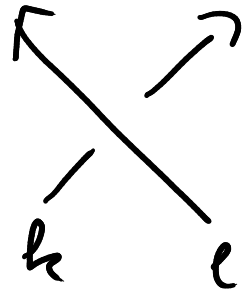
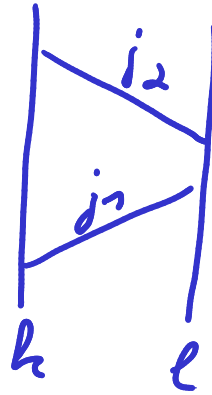
$$- \frac{[k-2]}{[k]} \left( \text{diagram of } O_1 \text{ with } k-1 \text{ strands} \right) = \frac{[2]}{[k]} \left( \text{diagram of } O_1 \text{ with } k-2 \text{ strands} \right) + \frac{1}{[k]} \left( \text{diagram of } O_1 \text{ with } k-2 \text{ strands} \right) + (-1)^{k-1} [2][k-2]$$

*same algebra*

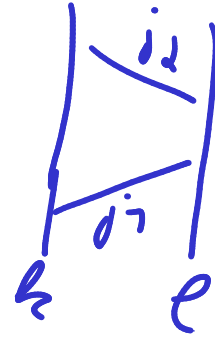
$$\underbrace{= (-1)^k [2][k-1]}_{\text{by induction}} \stackrel{\text{induction}}{=} \dots = (-1)^k [k+1]$$

Def 10.12

$$:= (-1)^k q^{-k - \frac{k\ell}{2}} \sum_{\substack{j_1 + j_2 = \\ k - \ell}} (-q)^{j_1}$$



$$:= (-1)^k q^{k + \frac{k\ell}{2}} \sum_{\substack{j_1 + j_2 = \\ k - \ell}} (-q)^{-j_1}$$

Lemma 10.13

This endows  $\mathcal{H}_2 \text{Wel}$  with the structure of a braided pivotal category.

## Theorem 10.14

15

Let  $\text{Mat}(SL_2 \text{Web})$  be the matrix closure of  $SL_2 \text{Web}$ . Then there exists an equivalence of braided pivotal categories

$$\begin{aligned} \text{Mat}(SL_2 \text{Web}) &\xrightarrow{\sim} U_q(SL_2) \text{ mod} \\ \hbar &\longmapsto \Delta(\hbar) \end{aligned}$$

---

The invariants coming from  $SL_2 \text{Web}$  are thus, the  $U_q(SL_2)$  quantum invariants.

Note that they come in families — that is what makes them "quantum"

Example "The colored Jones polynomials of the Hopf link" (Calculation in  $S(2 \text{ Web})$ )


$$\text{Hopf link} = q^{-3} \text{Link 1} - 2q^{-2} \text{Link 2} + q^{-1} \text{Link 3}$$

$$= q^{-3} [2]^2 - 2q^{-2} [2][3] + q^{-1} [2]^2 [3] = [4]$$

So, the Hopf link is non-trivial



In general we have a whole family 17  
of these guys and one can show:


$$= (-1)^{k+l} [(k+1)(l+1)]$$

*→ Similarly we get whole families for all links.*