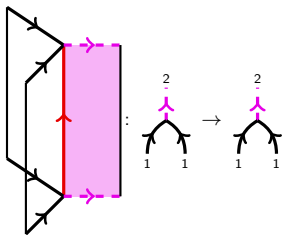


(Singular) TQFTs, link homologies and Lie theory 2

Or: fun with singular surfaces

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Joint work (still in progress) with Michael Ehrig and Catharina Stroppel

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1 Why foams? They categorify intertwiners!

- \mathfrak{sl}_2 -webs
- \mathfrak{gl}_2 -webs
- Further generalizations

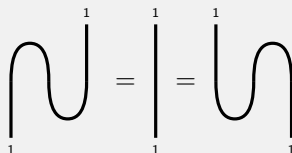
2 Singular TQFTs and foams

- What are foams? The informal answer
- What are foams? The singular TQFT construction
- The web algebra

Definition (Rumer-Teller-Weyl 1932)

The 2-web space $\text{Hom}_{2\text{-Web}}(b, t)$ is the free $\mathbb{C}(q)$ -vector space generated by non-intersecting arc diagrams with b, t bottom/top boundary points modulo:

Circle removal : $1 \bigcirc = (q + q^{-1}) = [2]$.

Isotopy relations : 

The 2-web category

Definition (Kuperberg 1995)

The 2-web category **2-Web** is the (braided) monoidal, $\mathbb{C}(q)$ -linear category with:

- Objects are vectors $\vec{k} = (1, \dots, 1)$ and morphisms are $\text{Hom}_{\mathbf{2}\text{-Web}}(\vec{k}, \vec{l})$.
- Composition \circ :

$$\begin{array}{c} \frown \\ \\ \frown \\ 1 \quad 1 \end{array} \circ \begin{array}{c} \smile \\ \\ \smile \\ 1 \quad 1 \end{array} = \text{O} \quad 1, \quad \begin{array}{c} \smile \\ \\ \smile \\ 1 \quad 1 \end{array} \circ \begin{array}{c} \frown \\ \\ \frown \\ 1 \quad 1 \end{array} = \begin{array}{c} \smile \\ \\ \frown \\ \\ \frown \\ 1 \quad 1 \end{array}$$

- Tensoring \otimes :

$$\begin{array}{c} \smile \\ \\ \smile \\ 1 \quad 1 \\ \text{\scriptsize } \otimes \\ \frown \\ \\ \frown \\ 1 \quad 1 \end{array} \begin{array}{c} | \\ 1 \end{array} = \begin{array}{c} \smile \\ \\ \frown \\ \\ \frown \\ 1 \quad 1 \end{array} \begin{array}{c} | \\ 1 \end{array}$$

Diagrams for intertwiners

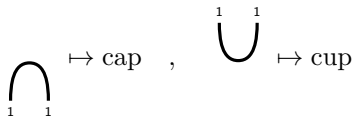
Observe that there are (up to scalars) unique $\mathbf{U}_q(\mathfrak{sl}_2)$ -intertwiners

$$\text{cap}: \mathbb{C}_q^2 \otimes \mathbb{C}_q^2 \twoheadrightarrow \mathbb{C}(q), \quad \text{cup}: \mathbb{C}(q) \hookrightarrow \mathbb{C}_q^2 \otimes \mathbb{C}_q^2,$$

projecting $\mathbb{C}_q^2 \otimes \mathbb{C}_q^2$ onto $\mathbb{C}(q)$ respectively embedding $\mathbb{C}(q)$ into $\mathbb{C}_q^2 \otimes \mathbb{C}_q^2$.

Let $\mathfrak{sl}_2\text{-Mod}$ be the (braided) monoidal, $\mathbb{C}(q)$ -linear category whose objects are tensor generated by \mathbb{C}_q^2 . Define a functor $\Gamma: 2\text{-Web} \rightarrow \mathfrak{sl}_2\text{-Mod}$:

$$\vec{k} = (1, \dots, 1) \mapsto \mathbb{C}_q^2 \otimes \dots \otimes \mathbb{C}_q^2,$$

$$\text{cap} \mapsto \text{cap}, \quad \text{cup} \mapsto \text{cup}$$


Theorem(Folklore)

$\Gamma: 2\text{-Web}^\oplus \rightarrow \mathfrak{sl}_2\text{-Mod}$ is an equivalence of (braided) monoidal categories.

Categorification “adds one dimension”

- Each generic slice of the cobordisms from 2-Cob is a 2-web.
- In fact, one can see $2\text{-Cob}_{\mathbb{C}}$ as a 2-category that **categorifies** 2-Web (in a suitable sense). In particular, the relations in 2-Web are lifted to equivalences of 1-morphisms in $2\text{-Cob}_{\mathbb{C}}$:

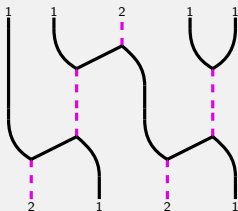
$$\bigcirc \longrightarrow \left(\begin{array}{c} \text{cup with dot} \\ \text{cup with dots} \end{array} \right) \longrightarrow \emptyset\{+1\} \oplus \emptyset\{-1\} \longrightarrow \left(\text{cup} \quad \text{cup with dot} \right) \longrightarrow \bigcirc$$

- By the representation theorem and Reshetikhin-Turaev’s construction: the category 2-Web can be used to calculate the Jones polynomial.
- Thus, 2-Cob should give Khovanov homology - and indeed, **it does**.

A \mathfrak{gl}_2 -web is a labeled trivalent graph locally made of

$$m = \begin{array}{c} 2 \\ | \\ \text{---} \\ / \quad \backslash \\ 1 \quad 1 \end{array}, \quad s = \begin{array}{c} 1 \quad 1 \\ \backslash \quad / \\ \text{---} \\ | \\ 2 \end{array}$$

Example

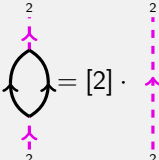


Let us form a category again

Define the (braided) monoidal, $\mathbb{C}(q)$ -linear category **2-reWeb** by using:

Definition

The **revised** 2-web space $\text{Hom}_{2\text{-reWeb}}(\vec{k}, \vec{l})$ with $\vec{k}, \vec{l} \in \{0, 1, 2\}^{\mathbb{Z}}$ is the free $\mathbb{C}(q)$ -vector space generated by \mathfrak{gl}_2 -webs modulo the “circle” removal

“Circle” removal : 

and isotopies fixing the boundary.

Diagrams for intertwiners

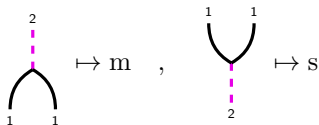
Observe that there are (up to scalars) unique $\mathbf{U}_q(\mathfrak{gl}_2)$ -intertwiners

$$m: \mathbb{C}_q^2 \otimes \mathbb{C}_q^2 \rightarrow \Lambda_q^2 \mathbb{C}_q^2, \quad s: \Lambda_q^2 \mathbb{C}_q^2 \hookrightarrow \mathbb{C}_q^2 \otimes \mathbb{C}_q^2$$

given by projection and inclusion.

Let $\mathfrak{gl}_2\text{-Mod}$ be the (braided) monoidal, $\mathbb{C}(q)$ -linear category whose objects are tensor generated by \mathbb{C}_q^2 and $\Lambda_q^2 \mathbb{C}_q^2$. Define a functor $\Gamma: 2\text{-reWeb} \rightarrow \mathfrak{gl}_2\text{-Mod}$:

$$\vec{k} = (0, 1, 1, 2, 0) \mapsto \mathbb{C}(q) \otimes \mathbb{C}_q^2 \otimes \mathbb{C}_q^2 \otimes \Lambda_q^2 \mathbb{C}_q^2 \otimes \mathbb{C}(q), \text{ etc.}$$



Theorem

$\Gamma: 2\text{-reWeb}^\oplus \rightarrow \mathfrak{gl}_2\text{-Mod}$ is an equivalence of (braided) monoidal categories.

From \mathfrak{gl}_2 to \mathfrak{sl}_2

Restricting from \mathfrak{gl}_2 to \mathfrak{sl}_2 could increase the number of intertwiners:

$$\mathbf{U}_q(\mathfrak{sl}_2) \subset \mathbf{U}_q(\mathfrak{gl}_2) \quad \Rightarrow \quad \mathrm{Hom}_{\mathbf{U}_q(\mathfrak{sl}_2)}(M, M') \supset \mathrm{Hom}_{\mathbf{U}_q(\mathfrak{gl}_2)}(M, M').$$

Note that \mathbb{C}_q^2 is self-dual as a $\mathbf{U}_q(\mathfrak{sl}_2)$ -module, but **not** as a $\mathbf{U}_q(\mathfrak{gl}_2)$ -module. We obtain extra diagrams:

$$\begin{array}{c} \cap \\ \hline 1 \quad 1 \end{array} : \mathbb{C}_q^2 \otimes \mathbb{C}_q^2 \rightarrow \mathbb{C}(q), \quad \begin{array}{c} \cup \\ \hline 1 \quad 1 \end{array} : \mathbb{C}(q) \rightarrow \mathbb{C}_q^2 \otimes \mathbb{C}_q^2.$$

In particular, the so-called **determinant representation** $\Lambda_q^2 \mathbb{C}_q^2$ satisfies

$$\Lambda_q^2 \mathbb{C}_q^2 \cong \mathbb{C}(q) \quad \text{as } \mathbf{U}_q(\mathfrak{sl}_2)\text{-modules,}$$

$$\Lambda_q^2 \mathbb{C}_q^2 \not\cong \mathbb{C}(q) \quad \text{as } \mathbf{U}_q(\mathfrak{gl}_2)\text{-modules.}$$

Diagrams for intertwiners

Observe that there are (up to scalars) unique $\mathbf{U}_q(\mathfrak{gl}_M)$ -intertwiners

$$m_{k,l}^{k+l} : \Lambda_q^k \mathbb{C}_q^M \otimes \Lambda_q^l \mathbb{C}_q^M \twoheadrightarrow \Lambda_q^{k+l} \mathbb{C}_q^M \quad \text{and} \quad s_{k+l}^{k,l} : \Lambda_q^{k+l} \mathbb{C}_q^M \hookrightarrow \Lambda_q^k \mathbb{C}_q^M \otimes \Lambda_q^l \mathbb{C}_q^M$$

given by projection and inclusion.

Let $\mathfrak{gl}_M\text{-Mod}_e$ be the (braided) monoidal, $\mathbb{C}(q)$ -linear category whose objects are tensor generated by $\Lambda_q^k \mathbb{C}_q^M$. Define a functor $\Gamma : M\text{-Web}_g \rightarrow \mathfrak{gl}_M\text{-Mod}_e$:

$$\vec{k} = (k_1, \dots, k_m) \mapsto \Lambda_q^{k_1} \mathbb{C}_q^M \otimes \dots \otimes \Lambda_q^{k_m} \mathbb{C}_q^M,$$

$$\begin{array}{c} k+l \\ \diagup \quad \diagdown \\ \text{---} \\ \diagdown \quad \diagup \\ k \quad l \end{array} \mapsto m_{k,l}^{k+l}, \quad \begin{array}{c} k \quad l \\ \diagdown \quad \diagup \\ \text{---} \\ \diagup \quad \diagdown \\ k+l \end{array} \mapsto s_{k+l}^{k,l}$$

Theorem (Cautis-Kamnitzer-Morrison 2012)

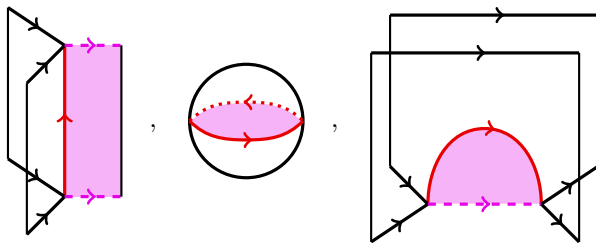
$\Gamma : M\text{-Web}_g^\oplus \rightarrow \mathfrak{gl}_M\text{-Mod}_e$ is an equivalence of (braided) monoidal categories.

Categorification still “adds one dimension”

- The same pattern continues for other categories of intertwiners: one always needs **trivalent vertices**.
- If we believe in Khovanov’s categorification approach using **(and we do)**, then we should find a “cobordism” category whose generic slices are **trivalent graphs** aka webs.
- These are foams! We cook them up using singular TQFTs where the singular seams “categorify” **the trivalent vertex**.
- Note that the sign issue for the functoriality of Khovanov homology roughly comes from the identification of $\Lambda_q^2 \mathbb{C}_q^2$ with the trivial module.

Foams in a nutshell

Informally, a \mathfrak{gl}_2 -foam is a two-dimensional CW-complex with singular circles, some additional data and modulo some relations. A point on a singular circle has a neighborhood homeomorphic to the product of the letter Y and an interval



Here generic slices are \mathfrak{sl}_2 -webs!

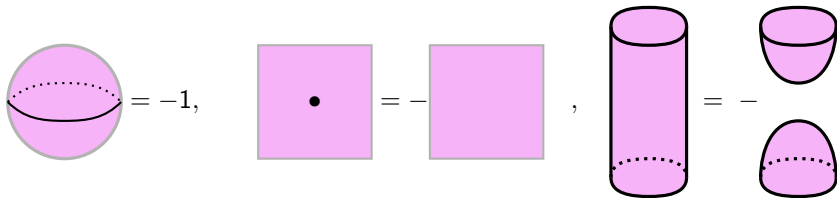
“Usual” TQFTs

Recall that equivalence classes of TQFTs for surfaces are in **one-to-one correspondence** with isomorphism classes of finite-dimensional, commutative Frobenius algebras. The Frobenius algebras we need are

$$\mathcal{A}_1 = \mathbb{C}[X]/(X^2), \quad \mathcal{A}_2 = \mathbb{C},$$

with a non-trivial trace $\text{tr}_2(1) = -1$ for the second.

We have seen the TQFT for \mathcal{A}_1 before. The one for \mathcal{A}_2 has relations like

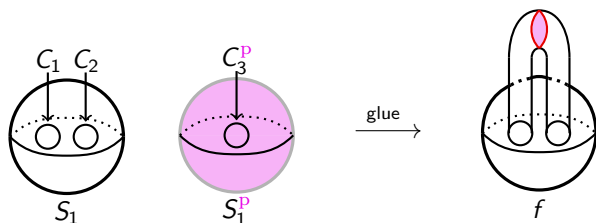


Singular surfaces

Fix the following data denoted by \mathbf{S} :

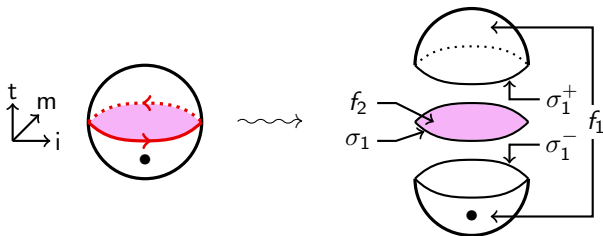
- 1 A surface S with connected components divided into two sets S_1, \dots, S_r and $S_1^P, \dots, S_{r'}^P$, called **ordinary surfaces** and **phantom surfaces**.
- 2 The boundary components of S are partitioned into triples (C_i, C_j, C_k^P) such that each triple contains precisely one phantom boundary component.
- 3 The three circles C_i, C_j and C_k^P in each triple are identified via diffeomorphisms $\varphi_{ij}: C_i \rightarrow C_j$ and $\varphi_{jk}: C_j \rightarrow C_k^P$.
- 4 A finite (possibly empty) set of "dots" per connected components S_1, \dots, S_r and $S_1^P, \dots, S_{r'}^P$ that move freely around its connected component.

Now identify via $\varphi_{ij}: C_i \rightarrow C_j$ and $\varphi_{jk}: C_j \rightarrow C_k^P$, e.g.



Singular TQFTs - part 1

Cook up a category of pre-foams $p\mathcal{F}$ from \mathbf{S} and the identification. Now we want a singular TQFT functor $\mathcal{T}: p\mathcal{F} \rightarrow \mathbb{C}\text{-Vect}$. In order to do so we use the two TQFTs (Frobenius algebras) \mathcal{A}_1 and \mathcal{A}_2 and decompose:



Here f_i is a surface associated to \mathcal{A}_i for $i = 1, 2$.

Singular TQFTs - part 2

Define the **gluing procedure**:

$$\begin{aligned}\text{glue}_{\mathcal{A}_1}: \mathcal{A}_1 \otimes \mathcal{A}_1 &\rightarrow \mathcal{A}_1, (a+bX) \otimes (c+dX) \mapsto (a+bX)(c-dX), \\ \text{glue}_{\mathcal{A}_2}: \mathcal{A}_2 &\rightarrow \mathcal{A}_1, 1 \mapsto 1.\end{aligned}$$

Then we set

$$\mathcal{T}(f_c) = (\text{tr}_1)^{\otimes m}(\text{glue}_{\mathcal{A}_1}^{\otimes m}(\mathcal{T}_{\mathcal{A}_1}(f_1)) \otimes \text{glue}_{\mathcal{A}_2}^{\otimes m}(\mathcal{T}_{\mathcal{A}_2}(f_2))) \in \mathbb{C}^{\otimes m} \cong \mathbb{C}.$$

This gives a well-defined functor on closed pre-foams assigning to each such f_c a value $\mathcal{T}(f_c) \in \mathbb{C}$. A crucial insight of Blanchet is that this can be extended:

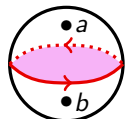
Theorem(Blanchet 2010)

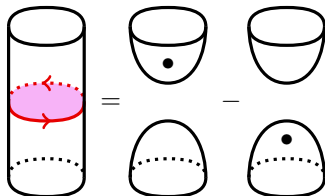
This construction can be **extended** to a singular TQFT functor $\mathcal{T}: p\mathcal{F} \rightarrow \mathbb{C}\text{-Vect}$.

From TQFTs to \mathbb{C} -linear cobordism categories

Let \mathfrak{F} be the \mathbb{C} -linear category whose objects are webs and:

- The hom spaces $\text{Hom}_{\mathfrak{C}}(\text{web}, \text{web})$ is the \mathbb{C} -vector whose basis are all (embedded) pre-foams between these webs modulo relations.
- The relations are isotopies and some (local) relations, e.g.:


$$= \begin{cases} 1, & \text{if } a = 1, b = 0, \\ -1, & \text{if } a = 0, b = 1, \\ 0, & \text{otherwise,} \end{cases}$$



Remark

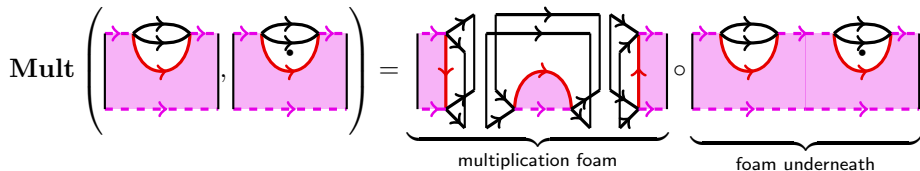
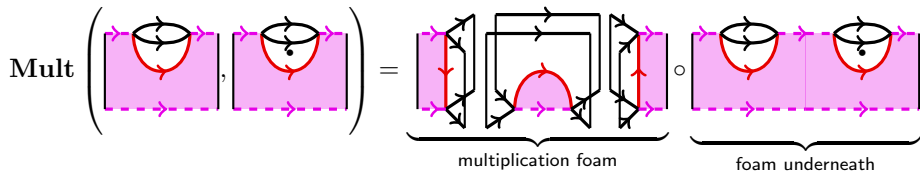
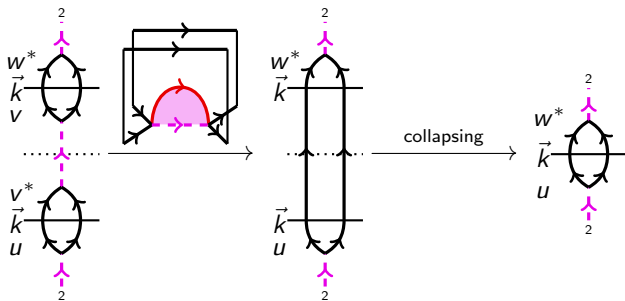
To find the relations is the most difficult part of the game.

Remark

The grading is still the (slightly rearranged) topological Euler characteristic.

A “singular cobordism algebra”

Define a ‘foamy’ algebra $\mathbf{W}_{\vec{k}}$ as before. An example of the multiplication is



A “singular cobordism algebra”

Some nice features of the singular cobordism construction:

- One can use **more general** gluing maps, Frobenius algebras, work over \mathbb{Z} etc.
- The signs in Khovanov homology are **automatically fixed** (similarly for the $\mathfrak{sl}_M/\mathfrak{gl}_M$ “friends” of Khovanov homology).
- This **generalizes** to $\mathfrak{sl}_M/\mathfrak{gl}_M$. This **should generalize** to other types as well.
- In particular, one obtains a **categorification** of $\mathbb{C}_q^M \otimes \cdots \otimes \mathbb{C}_q^M$ and possibly all its summands as well.
- This **should give** a generators/relations presentation of the M -block parabolic category \mathcal{O} for \mathfrak{gl}_m .
- Explicit relations to algebraic geometry (e.g. Grassmannians, Springer varieties etc.) need to be worked out.
- Explicit relations to quantum Chern-Simons theory and string theory need to be worked out.
- More...

There is still **much** to do...

Thanks for your attention!