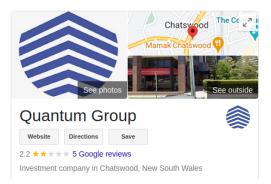
A brief, incomplete, and mostly wrong history of quantum groups

Or: From ice to R-matrices

Daniel Tubbenhauer



Hmm, what a "quantum group" is appears debatable. Nevertheless, I'll give it a go!

Throughout

Please convince yourself that I haven't messed up while picking my quotations from my stolen material

Neither quantum nor group...?

Quantum groups arose in the 1980s from attempts to...

► ...construct solutions of the Yang–Baxter equation (YBE) Faddeev's school

More as we go!

 ...find examples of noncommutative+noncocommutative Hopf algebras via deforming U(g) Drinfel'd and Jimbo (in parallel)

Proceedings of the International Congress of Mathematicians Berkeley, California, USA, 1986

Quantum Groups

V. G. DRINFEL'D

mutative) Hopf algebra. So the notions of Hopf algebra and quantum group are in fact equivalent, but the second one has some geometric flavor.

It is important that a quantum group is not a group, nor even a group object in the category of quantum spaces. This is because for noncommutative algebras the tensor product is not a coproduct in the sense of category theory.

A q-Difference Analogue of U(g) and the Yang-Baxter Equation

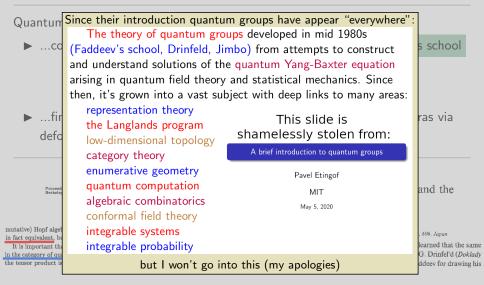
MICHIO JIMBO Research Institute for Mathematical Sciences, Kyoto University, Kyoto, 606. Japan

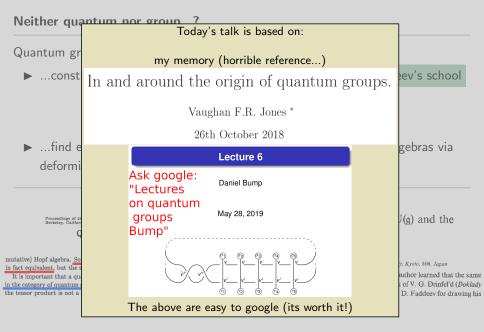
Note added in Proof. After completing the manuscript, the author learned that the same algebra (3A-E) has also been introduced in the recent work of V. G. Drinfel'd (*Doklady Akad. Nauk. SSSR*, 1985). He would like to thank Prof. L. D. Faddeev for drawing his

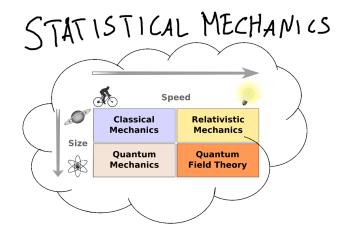
Neither quantum nor group...?

Quantum grou	History and Perspectives of	
 constru 	Quantum Groups	deev's school
deforming Proceedings of the In Berkeley, California, Que V. mutative) Hopf algebra, So the in fact equivalent, but the seco It is important that a quantum in the category of quantum space the tensor product	L. D. Faddeev The combination of terms "Quantum Group" was introduced by V. Drin- feld 20 years ago and appeared in his invited talk at the ICM 1986 in Berkeley ¹ [11]. While universally adopted now, it was considered as a mis- nomer by many purists. Indeed the object in question is neither a group nor does it belongs to quantum theory. However Drinfeld used the term "quantization" as a synonym of "deformation", referring to the recent re- alization that the algebra of observables of a quantum mechanical system is a noncommutative deformation of the corresponding classical algebra of functions on symplectic phase space, see e.g. [4]. It is remarkable that a corresponding procedure was inspired by math- ematical physics, more exactly by the theory of quantum integrable models [18] and exactly soluble models of statistical physics [3]. Altogether this history is an instructive example of the interinfluence of mathematics and mathematical physics. Im group is not a group, nor even a group object. <i>Nore above in Proop</i> . Alter completing the manuscrupt. The besome for anonymentative algebra. Alter Completing the manuscrupt. The besome for anonymentative algebra.	work of V. G. Drinfel'd (<i>Doklady</i> deev for drawing his

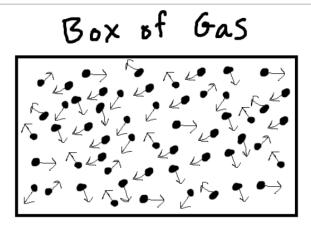
Neither quantum nor group...?







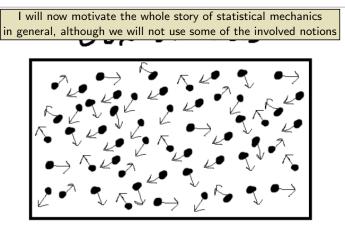
- ► Statistical mechanics is a branch of physics that pervades all other branches
- ▶ Its exact incarnation is different in each quadrant, but the basics are identical
- Instead of microstates σ describe a set Ω of microstates, the macrostates



- Example Describe the behavior of gas molecules globally
- ▶ The point is to model a system at hand
- ▶ The models we will use are lattice models

Daniel Tubbenhauer

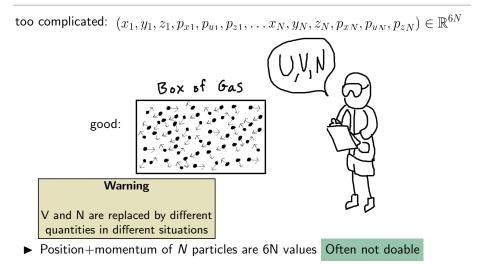
A brief, incomplete, and mostly wrong history of quantum groups



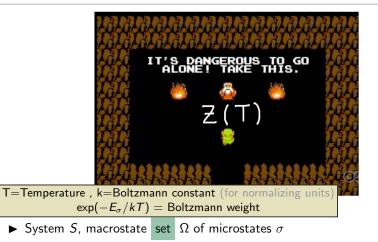
- Example Describe the behavior of gas molecules globally
- The point is to model a system at hand
- ► The models we will use are lattice models

Daniel Tubbenhauer

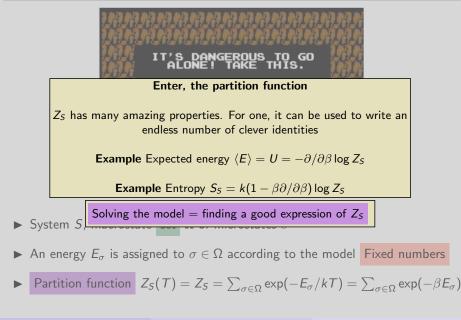
A brief, incomplete, and mostly wrong history of quantum groups

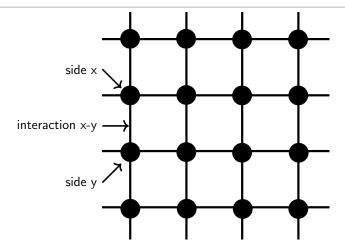


- ► Macroscopic we have fewer parameters needed to be solved
- Example For a box filled with gas we have U (energy), V (volume) and N

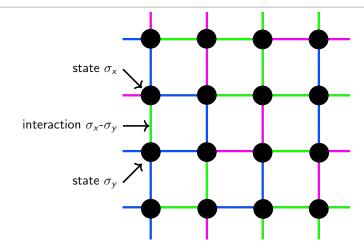


- An energy E_{σ} is assigned to $\sigma \in \Omega$ according to the model Fixed numbers
 - Partition function $Z_S(T) = Z_S = \sum_{\sigma \in \Omega} \exp(-E_{\sigma}/kT) = \sum_{\sigma \in \Omega} \exp(-\beta E_{\sigma})$

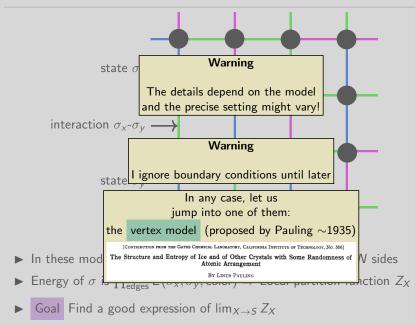


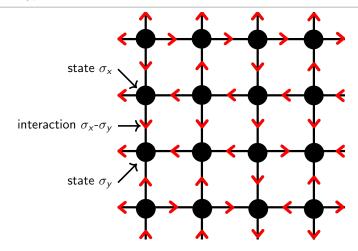


- ▶ Often models involve collections of locally interacting sites on some lattice
- The partition function Z_X makes sense for a finite subset X of the lattice
- ▶ Then consider an increasing family of subsets whose union is the whole system



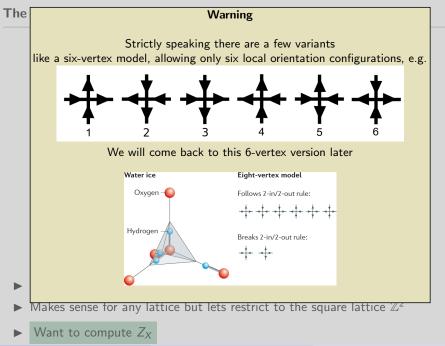
- ▶ In these models often state="colors on edges" for X with N sides
- Energy of σ is $\prod_{edges} E(\sigma_x, \sigma_y, color) \Rightarrow Local partition function <math>Z_X$
- Goal Find a good expression of $\lim_{X\to S} Z_X$

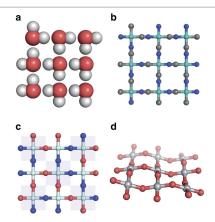




- ► Ice-type = each edge gets an orientation
- \blacktriangleright Makes sense for any lattice but lets restrict to the square lattice \mathbb{Z}^2

• Want to compute Z_X

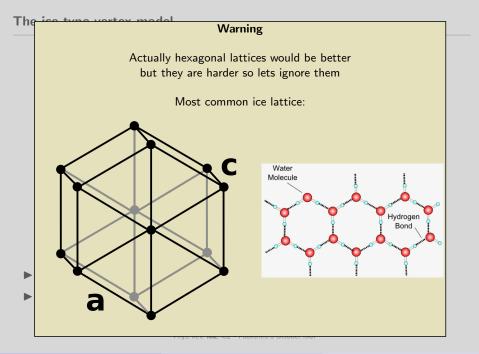


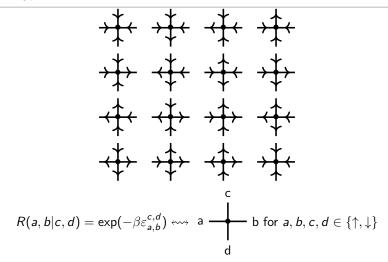


- ▶ This models ice lattices and other real crystals with hydrogen bonds
- \blacktriangleright Lieb found an exact solution for $\mathbb{Z}^2 \sim \!\! 1967; \, \mathbb{Z}^3$ is still open

Residual Entropy of Square Ice

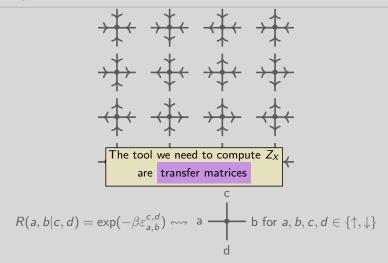
Elliott H. Lieb Phys. Rev. 162, 162 – Published 5 October 1967





► There a sixteen local configurations

•
$$Z_X = \sum_{\text{states}} \prod_{\text{vertices}} R(a, b | c, d)$$



There a sixteen local configurations

•
$$Z_X = \sum_{\text{states}} \prod_{\text{vertices}} R(a, b|c, d)$$

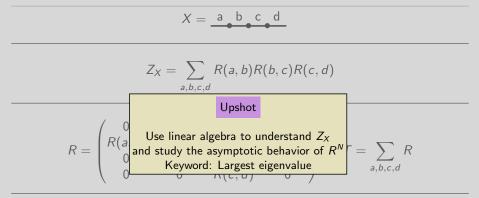
$$X = \underline{a b c d}$$

$$Z_X = \sum_{a,b,c,d} R(a,b)R(b,c)R(c,d)$$

$$R = \begin{pmatrix} 0 & R(a,b) & 0 & 0 \\ R(a,b) & 0 & R(b,c) & 0 \\ 0 & R(b,c) & 0 & R(c,d) \\ 0 & 0 & R(c,d) & 0 \end{pmatrix}, \quad T = \sum_{a,b,c,d} R$$

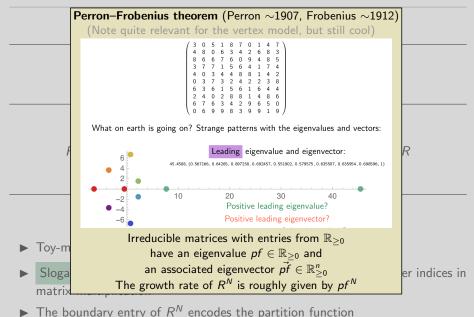
a-d entry of R^3 is R(a,b)R(b,c)R(c,d)

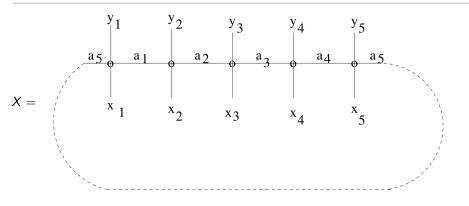
- \blacktriangleright Toy-model: ice-type for $\mathbb Z$ with fixed boundary
- ▶ Slogan Summation over indices in *Z*_X becomes the summation over indices in matrix multiplication
- ▶ The boundary entry of R^N encodes the partition function



a - d entry of R^3 is R(a, b)R(b, c)R(c, d)

- \blacktriangleright Toy-model: ice-type for $\mathbb Z$ with fixed boundary
- ▶ Slogan Summation over indices in *Z*_X becomes the summation over indices in matrix multiplication
- The boundary entry of R^N encodes the partition function





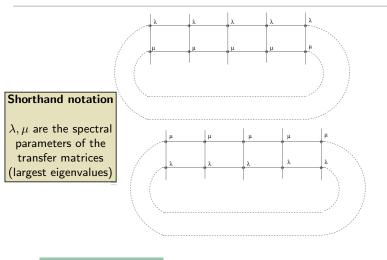
$$T^{\text{row}} = \sum_{a_i} R(a_n, a_1 | x_1, y_1) ... R(a_{n-1}, a_n | x_n, y_n)$$

▶ For \mathbb{Z}^2 with periodic boundary use transfer matrix above

Problem Computing the largest eigenvalue becomes infeasible

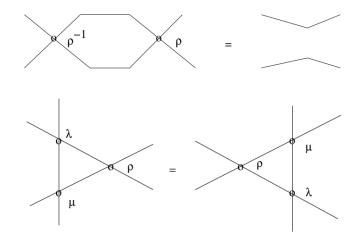
Daniel Tubbenhauer

A brief, incomplete, and mostly wrong history of quantum groups



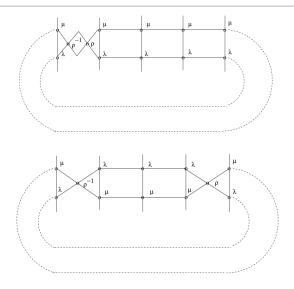
Idea (Baxter \sim 1975) Use only commuting transfer matrices

Upshot Have a common eigenvector and, using it, are relatively easy to study

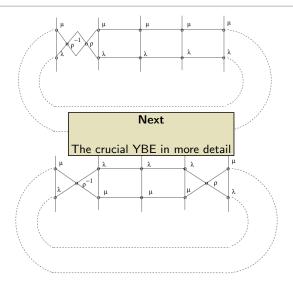


First Invertibility

► Second Yang–Baxter equation (YBE)

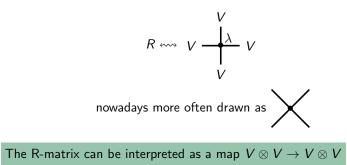


These two relations ensure commutativity , see above



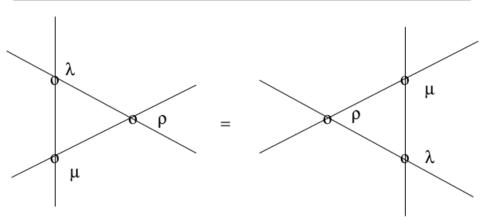
These two relations ensure commutativity , see above

$$R(a, b|c, d) = \exp(-\beta \varepsilon_{a, b}^{c, d}) \iff a \xrightarrow{c} b \text{ for } a, b, c, d \in \{\uparrow, \downarrow\}$$



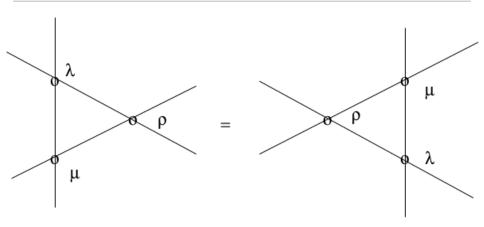
• V = vector space with basis { \uparrow , \downarrow }

Yang–Baxter equation



The YBE can be interpreted as an equation of maps $V \otimes V \otimes V \rightarrow V \otimes V \otimes V$

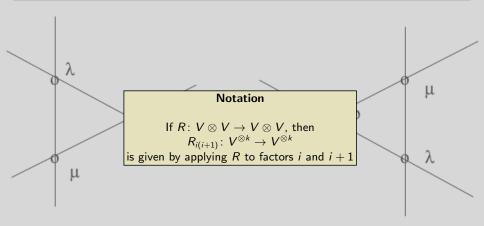
Yang–Baxter equation



The YBE reads

$$R_{12}(\lambda)R_{23}(\rho)R_{12}(\mu) = R_{23}(\mu)R_{12}(\rho)R_{23}(\lambda)$$

where R(x) = transfer matrix for x

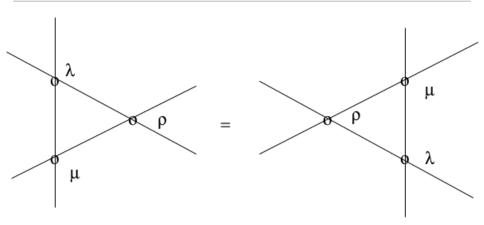


The YBE reads

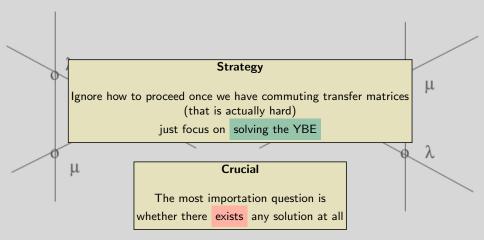
$$R_{12}(\lambda)R_{23}(\rho)R_{12}(\mu) = R_{23}(\mu)R_{12}(\rho)R_{23}(\lambda)$$

where R(x) = transfer matrix for x

Yang–Baxter equation



- ► The equations represented by the diagram form a huge system of highly non-linear equations
- ► The YBE involves only three vertices

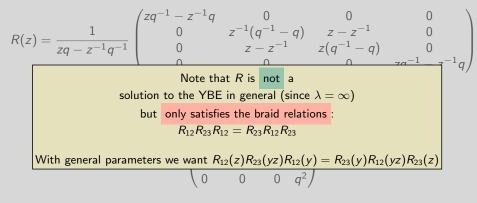


The equations represented by the diagram form a huge system of highly non-linear equations

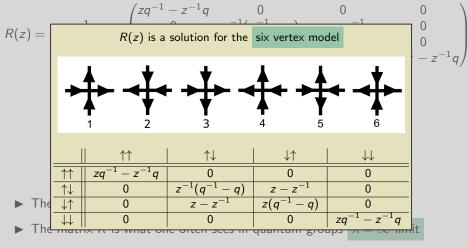
► The YBE involves only three vertices

$$R(z) = \frac{1}{zq - z^{-1}q^{-1}} \begin{pmatrix} zq^{-1} - z^{-1}q & 0 & 0 & 0 \\ 0 & z^{-1}(q^{-1} - q) & z - z^{-1} & 0 \\ 0 & z - z^{-1} & z(q^{-1} - q) & 0 \\ 0 & 0 & 0 & zq^{-1} - z^{-1}q \end{pmatrix}$$
$$z = \exp(-\lambda), q = \exp(\theta)$$
$$R = \lim_{z \to 0} R(z)$$
$$R = \begin{pmatrix} q^2 & 0 & 0 & 0 \\ 0 & q^2 - 1 & q & 0 \\ 0 & q & 0 & 0 \\ 0 & 0 & 0 & q^2 \end{pmatrix}$$

- ► The above is a solution for the YBE
- ▶ The matrix *R* is what one often sees in quantum groups $\lambda = \infty$ limit
- ▶ I will now explain where this solution comes from



- The above is a solution for the YBE
- ▶ The matrix *R* is what one often sees in quantum groups $\lambda = \infty$ limit
- I will now explain where this solution comes from



I will now explain where this solution comes from

- ▶ The standard module V = L(1) of $\mathfrak{sl}_2 = \langle E, F, H \rangle$ has basis vectors \uparrow, \downarrow
- ▶ With respect to this basis

$$E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- ▶ \mathfrak{sl}_2 has one simple module L(n) per $n \in \mathbb{N}$
- For n = 4 this module is

Before you ask: my ground field is
$$\mathbb C$$

$$E \mapsto \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \end{pmatrix}, F \mapsto \begin{pmatrix} 0 & 0 & 0 & 0 \\ 3 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}, H \mapsto \begin{pmatrix} 3 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -3 \end{pmatrix}$$

▶ The category of finite dimensional \mathfrak{sl}_2 -modules is semisimple

- ▶ The standard module V = L(1) of $U_q(\mathfrak{sl}_2) = \langle E, F, K \rangle$ has basis vectors \uparrow, \downarrow
- ► With respect to this basis

$$E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, K = \begin{pmatrix} q & 0 \\ 0 & q^{-1} \end{pmatrix} \iff q^{H}$$

$$\begin{array}{c} \blacktriangleright \quad U_{q}(\mathfrak{sl}_{2}) \text{ has one simple module } L(n) \text{ per } n \in \mathbb{N} \\ \blacktriangleright \quad \text{For } n = 4 \text{ this module is} \end{array} \begin{array}{c} \text{Before you ask:} \\ \text{my ground field is } \mathbb{C}(q) \\ \text{for } q \text{ a formal variable} \end{array} \\ E \mapsto \begin{pmatrix} 0 & [1] & 0 & 0 \\ 0 & 0 & [2] & 0 \\ 0 & 0 & 0 & [3] \\ 0 & 0 & 0 & 0 \end{pmatrix}, F \mapsto \begin{pmatrix} 0 & 0 & 0 & 0 \\ [3] & 0 & 0 & 0 \\ 0 & [2] & 0 & 0 \\ 0 & 0 & [1] & 0 \end{pmatrix}, K \mapsto \begin{pmatrix} q^{3} & 0 & 0 & 0 \\ 0 & q & 0 & 0 \\ 0 & 0 & q^{-1} & 0 \\ 0 & 0 & 0 & q^{-3} \end{array}$$

• The category of finite dimensional $U_q(\mathfrak{sl}_2)$ -modules is semisimple

$$[n] = q^{n-1} + q^{n-3} + \dots + q^{-n+3} + q^{-n+1}$$

Daniel Tubbenhauer

▶ $V \otimes V = \mathbb{C}(q)\{\uparrow\uparrow, \uparrow\downarrow, \downarrow\uparrow, \downarrow\downarrow\}$ (taken in this order); with respect to this basis

$$E \mapsto \begin{pmatrix} 0 & 1 & q & 0 \\ 0 & 0 & 0 & q^{-1} \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}, F \mapsto \begin{pmatrix} 0 & 0 & 0 & 0 \\ q^{-1} & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & q & 0 \end{pmatrix}, K \mapsto \begin{pmatrix} q^2 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & q^{-2} \end{pmatrix}$$

► The six vertex model gives

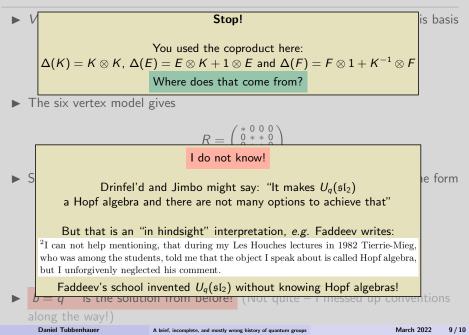
$$R = \begin{pmatrix} * & 0 & 0 & 0 \\ 0 & * & * & 0 \\ 0 & * & * & 0 \\ 0 & 0 & 0 & * \end{pmatrix}$$

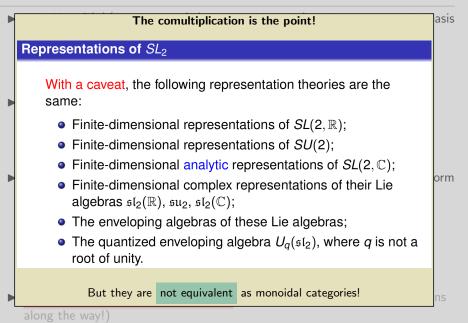
• Since it should commute with E and F it (up to scalars) has to be of the form

$$R = \begin{pmatrix} q^2 & 0 & 0 & 0 \\ 0 & q^2 - q^3 b & q^2 b & 0 \\ 0 & q^2 b & q^2 - q b & 0 \\ 0 & 0 & 0 & q^2 \end{pmatrix}$$

► $b = q^{-1}$ is the solution from before! (Not quite – I messed up conventions along the way!)

Daniel Tubbenhauer





Daniel Tubbenhauer

▶ The $U_q(\mathfrak{sl}_2)$ R-matrix is:

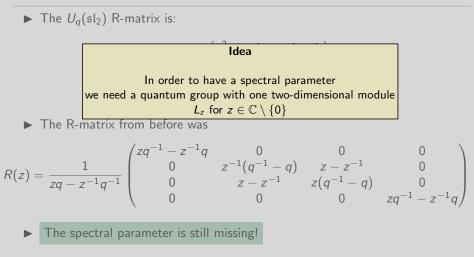
$$R=egin{pmatrix} q^2 & 0 & 0 & 0 \ 0 & q^2-1 & q & 0 \ 0 & q & 0 & 0 \ 0 & 0 & 0 & q^2 \end{pmatrix}$$

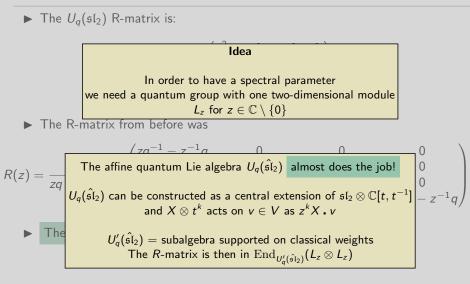
▶ The R-matrix from before was

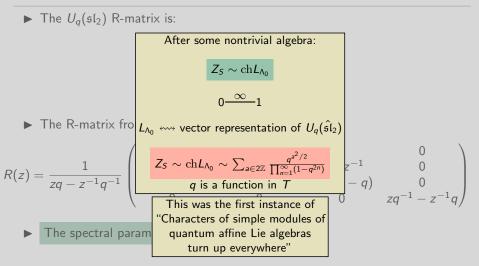
$$R(z) = \frac{1}{zq - z^{-1}q^{-1}} \begin{pmatrix} zq^{-1} - z^{-1}q & 0 & 0 & 0 \\ 0 & z^{-1}(q^{-1} - q) & z - z^{-1} & 0 \\ 0 & z - z^{-1} & z(q^{-1} - q) & 0 \\ 0 & 0 & 0 & zq^{-1} - z^{-1}q \end{pmatrix}$$

The spectral parameter is still missing!

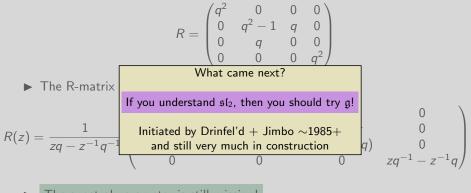
►



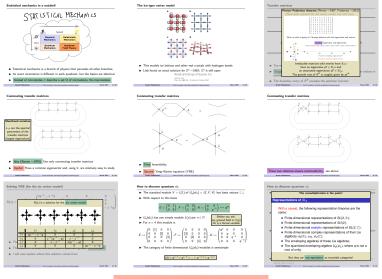




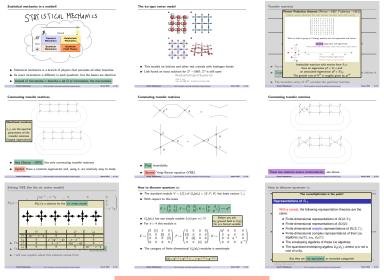
▶ The $U_q(\mathfrak{sl}_2)$ R-matrix is:



The spectral parameter is still missing!



There is still much to do ...



Thanks for your attention!